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Docket No. CITI0015-CON

PATENT



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of

Paul SIDIKMAN, et al.

Group Art Unit: 3624

Serial No.: 09/006,839

Examiner: Hani M. Kazimi

Filed: January 12, 1998

For: **METHOD AND SYSTEM FOR PROVIDING INTEGRATED BROKERAGE AND OTHER FINANCIAL SERVICES THROUGH CUSTOMER ACTIVATED TERMINALS**

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §§ 1.97 AND 1.98**

U.S. Patent and Trademark Office  
Customer Service Window, Mail Stop Amendment  
Randolph Building  
401 Dulany Street  
Alexandria, VA 22314

Sir:

In accordance with the requirements of 37 C.F.R. §§ 1.56, 1.97-1.98 and MPEP § 609, the references noted on the attached form PTO-1449 are hereby brought to the attention of the Examiner.

No fees are believed to be necessary since the references cited in this statement are being submitted after the filing of a Request for Continued Examination (RCE), but prior to a First Office Action after the filing of the RCE. The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. 1.16 or 1.17 which may be required during the

entire pendency of this application, or to credit any overpayment, to Deposit Account No. 501458. A duplicate copy of this letter is submitted herewith for that purpose.

The above information is presented so that the United States Patent and Trademark Office may, in the first instance, determine any materiality thereof to the claimed invention. See 37 C.F.R. §§ 1.104(a) conferring the PTO duty to consider and use any such information. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

Respectfully submitted,

Date: 10/26/05

By:



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C0464/093809  
Doc. No. 9001004

Atty. Docket No.  
**CITI0015-CON1**Serial No.  
**09/006,839**

## INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

Applicant

**Paul SIDIKMAN, et al.**

Filing Date

**January 12, 1998**

Group

**3624**

## U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Sub-Class	Filing Date (if appropriate)

## FOREIGN PATENT DOCUMENTS


## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

		Reding, Tom, "Digital Imaging Technology: What, Where, and Why in Commercial Nuclear Power," <i>Nuclear Plant Journal</i> , 3 pp., July-August, 1991
		Black, David, "The New Breed of Mixed-Media Image Management Systems," <i>IMC Journal</i> , pp. 9-13, January/February, 1989
		Walter, Gerry, "Optical Digital Data Disk Systems for the Management and Dissemination of Office and Engineering Documents," <i>International Journal of Micrographics &amp; Video Technology</i> , Vol. 4, No. 1, pp. 21-30, 1985

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication.